U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1390) ATTORNEY'S DOCKET NUMBER (REV. 10-2003) 396.45660X00 TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** CFR 1.5) **CONCERNING A FILING UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 4 June 2003 31 May 2004 PCT/JP2004/007851 TITLE OF INVENTION Method for Producing Optically Active Chroman-Carboxylate APPLICANT(S) FOR DO/EO/US Youichi KYUUKO et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. 🖂 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. □ include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. 🛛 A copy of the International Application as filed (35 U.S.C. 371(c)(2))) 5. 🔲 a. is attached hereto (required only if not communicated by the International Bureau). b. \square has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office(RO/US) An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. 🛛 is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. a. \square are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. Thave not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 🔲 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔲 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🔯 13. A preliminary amendment. 14. 🔲 An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. 🔲 A power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

A second copy of the published international application under 35 U.S.C. 154(d)(4).

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: Request for Examination and Search Form and Credit Card Payment Form.

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IAPS Rec'd PCT/PTO 05 DEC 2005

U.S. APPLICATION NO. 15 nm. 15 15 17				ATTORNEY'S DOCKET NUMBER 39645660X00	
The following fees have been submitted:				CALCULATIONS	PTO USE ONLY
21. 🛛 Basic natio	Basic national fee ■ Basic nation		\$300		
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provision of PCT Article 33(1)-(4) \$100 All other situations \$200				\$200.00	
Search fee Search fee (37 CFR 1.445(a)(2) has paid on the international application to the USPTO as an International Searching Authority International Search Report prepared and provided to the Office \$400 All other situations				\$500.00	
TOTAL OF 21, 22 and 23 =				\$1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				S	
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) - 100 =				-	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	12 - 20 =	0	x \$50.00	\$0.00	
Independent Claims	1 - 3 =	0	x \$200.00	\$0.00	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$360.00				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$0.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$	
SUBTOTAL =				\$1000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$1040.00	
_				Amount to be refunded:	\$
				Amount to charged:	<u>s</u>
b. Please A duplica c. The Com overpaym d. Fees are to informati NOTE: Where an a	n the amount of \$\sum_{\text{to cover}} to cover the alter charge my Deposit Account Note copy of this sheet is enclosed. missioner is hereby authorized to ent to Deposition Account No. 0 to be charged to a credit card. When should not be included on the propriate time limit under 37 C application to pending status.	charge any additional fees what 1-2135. A duplicate copy of aRNING: Information on the his form. Provide credit card	hich may be required, or cre this sheet is enclosed. is form may become public information and authorizat	. Credit card	ust be filed and
SEND ALL CORRE CUSTOMER NO. 0 ANTONELLI, TER 1300 North 17th Str Arlington, VA 2220 Customer No. 02045	120457 RRY, STOUT & KRAUS, LLP Teet, Suite 1800		Signature William Name 28,565 Registrati	1. Solomon /	

RM PTO-1390 (REV 10-2003) page 2 of 2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Youichi KYUUKO et al.

Application No.:

Not Yet Assigned

Filed:

December 5, 2005

For:

METHOD FOR PRODUCING OPTICALLY ACTIVE CHROMAN-CARBOXYLATE

REQUEST FOR EXAMINATION AND SEARCH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 December 5, 2005

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (referencing case No. 396.45660X00), and please credit any excess fees to such deposit account.

Respectfully submitted

William I. Solomon

Registration No. 28,565

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